



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/084,575	02/27/2002	Muraleedharan G. Nair	NP4039.P2

24123  
ALTICOR INC.  
7575 FULTON STREET EAST MAILCODE 78-2G  
ADA, MI 49355

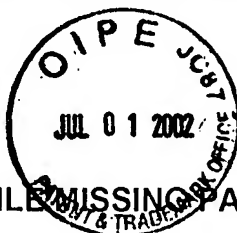
CONFIRMATION NO. 9173

## FORMALITIES LETTER



\*OC000000007737312\*

Date Mailed: 03/28/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1488.
  - \$648 for 36 total claims over 20.
  - \$840 for 10 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2358.**

*A copy of this notice **MUST** be returned with the reply.*

07/03/2002 SDENBOB1 00000019 011793 10084575

*FR Robinson*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01 FC:101	740.00 OP
02 FC:102	840.00 OP
03 FC:103	648.00 OP
04 FC:105	130.00 OP

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PN

NP4039.1.P2  
PATENT

MP\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nair, et al  
Serial No. : 10/084,575  
Filing Date : February 27, 2002  
For : DIETARY FOOD SUPPLEMENT CONTAINING NATURAL  
CYCLOOXYGENASE INHIBITORS AND METHODS FOR INHIBITING PAIN  
AND INFLAMMATION

Assistant Commissioner of Patents  
Washington, D.C. 20231

RESPONSE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application, mailed March 28, 2002,  
enclosed are the following:

1. Petition for Extension of Time Under 37CFR 1.136(a), the fee is authorized to be taken out of Deposit Account 01-1793.
2. Executed Declaration and Power of Attorney;
3. Copy of the Notice to File Missing Parts
4. Fee Transmittal Form; and
5. Check in the amount of \$2358 for payment of filing fee, extra claim fee and surcharge.

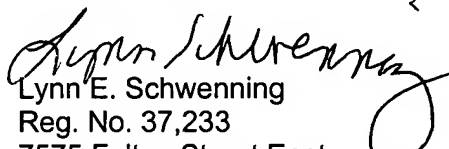
Please charge any additional fees or credit overpayment to Deposit Account 01-1793.

In view of the enclosures, it is respectfully submitted that an action on the merits is in order;  
and such is respectfully requested.

June 26, 2002

Respectfully submitted,

ALTICOR INC.

  
Lynn E. Schwenning  
Reg. No. 37,233  
7575 Fulton Street East  
Ada, Michigan 49355  
(616) 787-6360 (phone)  
(616) 787-9027 (fax)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed to:  
Box Missing Parts, Assistant Commissioner for Patents, Washington D.C.  
20231.

June 26, 2002  
(Date of Deposit)

  
Ramona Gerke



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$2,468.00

## Complete if Known

Application Number	10/084,575
Filing Date	February 27, 2002
First Named Inventor	Nair, et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	NP4039.1.P2

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
  
Deposit  
Account  
Name

01-1793

Alticor Inc.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☒ Charge fee(s) indicated below, except for the filing fee and to the above identified deposit account. **extra claim & surcharge**

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	201 370 Utility filing fee	740.00
		106	330	206 165 Design filing fee	
		107	510	207 255 Plant filing fee	
		108	740	208 370 Reissue filing fee	
		114	160	214 80 Provisional filing fee	
SUBTOTAL (1)					\$740.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** = 36	X 18.00 =	648.00
Independent Claims	-3** = 10	X 84.00 =	840.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	203 9 Claims in excess of 20	
		102	84	202 42 Independent claims in excess of 3	
		104	280	204 140 Multiple dependent claim, if not paid	
		109	84	209 42 ** Reissue independent claims over original patent	
		110	18	210 9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$1,488.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205 65 Surcharge - late filing fee or oath	130.00
		127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
		139	130	139 130 Non - English specification	
		147	2,520	147 2,520 For filing a request for ex parte reexamination	
		112	920*	112 920* Requesting publication of SIR prior to Examiner action	
		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
		115	110	215 55 Extension for reply within first month	110.00
		116	400	216 200 Extension for reply within second month	
		117	920	217 460 Extension for reply within third month	
		118	1,440	218 720 Extension for reply within fourth month	
		128	1,960	228 980 Extension for reply within fifth month	
		119	320	219 160 Notice of Appeal	
		120	320	220 160 Filing a brief in support of an appeal	
		121	280	221 140 Request for oral hearing	
		138	1,510	138 1,510 Petition to institute a public use proceeding	
		140	110	240 55 Petition to revive - unavoidable	
		141	1,280	241 640 Petition to revive - unintentional	
		142	1,280	242 640 Utility issue fee (or reissue)	
		143	460	243 230 Design issue fee	
		144	620	244 310 Plant issue fee	
		122	130	122 130 Petitions to the Commissioner	
		123	50	123 50 Processing fee under 37 CFR § 1.17(q)	
		126	180	126 180 Submission of Information Disclosure Statement	
		581	40	581 40 Recording each patent assignment per property (times number of properties)	
		146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
		179	740	279 370 Request for Continued Examination (RCE)	
		169	900	169 900 Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$240.00

## SUBMITTED BY

Name (Print/Type) LYNN E. SCHWENNING

Signature

*Lynn E. Schwenning*

Registration No.  
(Attorney/Agent)

37,233

## Complete (if applicable)

Telephone

616-787-6360

Date

June 26, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3  
4

**PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**  
**(Large Entity)**

Docket No.  
NP4039.1.P2

In Re Application of Nair, et al

Serial No.  
10/084,575

Filing Date  
February 27, 2002

Examiner

Group Art Unit

Invention: **DIETARY FOOD SUPPLEMENT CONTAINING NATURAL CYCLOOXYGENASE INHIBITORS AND METHODS FOR INHIBITING PAIN AND INFLAMMATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of March 28, 2002 above-identified application.  
*Date*

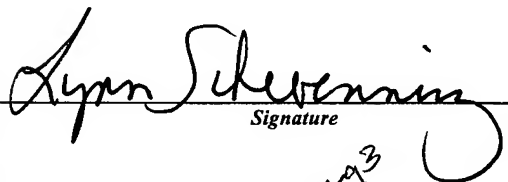
The requested extension is as follows (check time period desired):

☒ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: May 28, 2002    until: June 28, 2002  
*Date*    *Date*

The fee for the extension of time is **\$110** and is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **01-1793**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **01-1793**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **June 26, 2002**

07/03/2002 SDEMB01 00000019 10084575  
05 FC:115    110.00 CH

I certify that this document and fee is being deposited on 6-26-02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**Ramona Gerke**

*Typed or Printed Name of Person Mailing Correspondence*

CC: